



Information Record

of Hanseatic Brokerhouse Global Markets Ltd. (hereinafter also referred to as the “HBGM”) and the agent Hanseatic Brokerhouse Financial Services GmbH, Hamburg, (in the following also named HBFS or agent) of your account and / or accounts (to simplify matters, hereinafter collectively referred to as the “account”) who referred you as customer to Hanseatic Brokerhouse Global Markets Ltd. in the context of your account opening.

1) General Notes

- The capital you intend to invest has to be disposable venture capital.
- You should not take out a loan for this investment.
- The agent of your account who referred you to HBGM or employees of HBGM and/or the agent must not accept any means of payment (cash, cheques, remittances, remittance slips, etc.) from you.
- Trading in the businesses intended by you is of highly speculative nature: chances of winning are high, but this is also true for the risks of loss.
- The Trading in Forex and Contracts for Differences is connected with a very high level of risk and may not be appropriate for you. The possibility exists that you could sustain a loss in excess of your total investment. Also this can't be excluded if you participate in a Bonus-Program/promotional offer of HBGM.

2) Please absolutely take note of the risk information handed out to you together with the documents for the opening of your account! Among other things, detailed information is provided on:

- a possible total loss of your investment including transaction fees
- leverage effect: This effect creates the possibility of gains and the risk of large losses through a relatively small investment made by you.
- exchange risk through changes in exchange rates
- no 100% technical safety and reliability in case of placing of orders via Internet.
- possible failures of electronic trading platforms and interruptions of the channels of communication between the HBGM and you as a customer cannot be excluded definitely.
- amount of fees and transaction charges incurred: In case of high trading frequency, your account may be debited with large amounts of fees. In this context, in particular the transaction charges and the trading commissions arising for any trading, overnight financing costs, possible charges of a trading platform, etc. are important.



3) Payment by HBGM to HBFS

The agent who referred a customer to HBGM receives a remuneration from HBGM. The payment of this remuneration aims at improving the quality of the service provided for the customer such as quality of service, (order) infrastructure, customer support in setting up accounts, customer support in communication with HBGM, response to customer enquiries and help with problems, introductory help with trading platforms, introductory help with any supplementary programmes, communication help in the case of language barriers.

The Agent:

Name: Hanseatic Brokerhouse Financial Services GmbH (HBFS)
Address: Burchardstr. 8, 20095 Hamburg, Germany

receives the following remunerations:

The Agent HBFS receives a share of max. 50% of the fees paid by the customer and of the earnings generated by the transactions of the customer at HBGM. The remuneration for a specific financial instrument can be disclosed on request before execution of a transaction.

4) Your declarations and confirmations regarding risk information towards HBGM and their validity towards the agent as well

If you make any declarations according your financial situation, your risk appetite and your appropriateness to invest/to trade in the offered financial instruments towards HBGM, then you make expressly the same declarations towards the agent at the same time as well. The same shall apply as well to your confirmations regarding the information on risks involved in the transactions desired by you towards HBGM. They also are completely valid towards the agent. Thus, your risk information by the agent himself / herself is considered to have been made accordingly.

5) Your confirmation:

By confirming this information record, you also confirm that, before conclusion of the contract, you have been informed on the issues mentioned above (1. - 4.), in particular on the risks and on the remuneration paid to your agent HBFS by Hanseatic Brokerhouse Global Markets and that you fully understand and confirm them. You further confirm that you expressly agree to the type of approach (advertising / canvassing) made by the HBGM and / or the agent.